

07-19-04

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1 FYP/B

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/723,589	11/25/2003	Stanley B. Prusiner	UCAL-243

24353
 BOZICEVIC, FIELD & FRANCIS LLP
 200 MIDDLEFIELD RD
 SUITE 200
 MENLO PARK, CA 94025



CONFIRMATION NO. 7570
FORMALITIES LETTER



OC000000011985366

Date Mailed: 02/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/21/2004 AWDNDAF1 00000061 500815 10723589

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 DA
02 FC:1051	130.00 DA
03 FC:1201	344.00 DA
04 FC:1202	144.00 DA

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$488** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1388** for a Large Entity

- \$770** Statutory basic filing fee.
- \$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$488**

- **\$344** for 4 independent claims over 3.
- **\$144** for 8 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

T.V.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

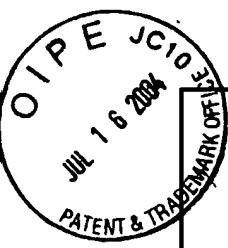
Please type a plus sign (+) inside this box →

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM

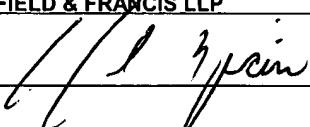
(to be used for all correspondence after initial filing)

		Application Number	10/723,589
		Filing Date	November 25, 2003
		First Named Inventor	PRUSINER, STANLEY B.
		Group Art Unit	1615
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	UCAL-243

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Supplemental ADS; Executed Declarations (2); postcard
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> CD, Number of CD(s)	
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent (Reg. No.)	KARL BOZICEVIC, 28,807 BOZICEVIC, FIELD & FRANCIS LLP
Signature	
Date	July 16, 2004

EXPRESS MAIL LABEL NO. EV 462 737 349 US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DATE: July 16, 2004

EV462737349US



THE EXPRESS MAIL NUMBER OF THE DAY IS: EV462737349US

The person taking the Express Mail to the Post Office is: Stuart McLeish

EXPRESS MAIL CERTIFICATION

Date of Deposit: **07/16/2004** I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature

Date

7/16/04

Atty. Docket No.	Serial Number	Description	Atty.	Fee
CLON-015	09/440,829	Transmittal, Fee Sheet <i>in duplicate</i> , IDS, SB08A, (5) Cited References	BEF	\$180
CRMD-006	10/792,657	Transmittal, Fee Sheet <i>in duplicate</i> , Copy of Notice to File Missing Parts, Supplemental ADS, Executed Declaration, Formal Drawing Transmittal, (14) Sheets of Figures	CML	\$603
UCAL-243	10/723,589	Transmittal, Fee Sheet <i>in duplicate</i> , Petition for a 3 Month Extension of Time, Supplemental ADS, Executed Declaration	KYB	\$2,338
RIGL-005CON	10/057,467	Transmittal, Fee Sheet <i>in duplicate</i> , Amendment After Final Rejection, (2) Terminal Disclaimers, SB08A	JSK	\$110
LBLB-002CIPCON2	10/155,918	Transmittal, Fee Sheet <i>in duplicate</i> , Preliminary Amendment	KYB	\$180
CNVG-004US1DIV	09/721,158	PTOL-85B <i>in duplicate</i> , Formal Drawing Transmittal, (70) Sheets, Amendment 1.312	CML	\$665
AGYT-022	10/795,148	Transmittal, Fee Sheet <i>in duplicate</i> , Supplemental ADS, Copy of Notice to File Missing Parts, Executed Declaration, Paper Copy of Seqlist, Seqlist Certification, (1) CD w/Seqlist in CRF	PJS	\$65
CRMD-007	10/792,684	Transmittal, Fee Sheet <i>in duplicate</i> , Copy of Notice to File Missing Parts, Supplemental ADS, Executed Declaration, Formal Drawing Transmittal, (13) Sheets of Figures	CML	\$567
LIFE-018DIV	10/861,275	IDS, SB08A, Copies of SB08A from Parent, (5) cited References	CML	
UCAL-161DIV	09/922,483	Transmittal, Amendment After Final Rejection, Executed Declaration of R. Stein (Exhibits 1-2)	PAB	
LIFE-094	09/264,786	Transmittal, Fee Sheet <i>in duplicate</i> , PTOL-85B, Notification of Change of Entity Status, (7) Sheets of Figures, IDS, SB08A, (1) Cited Reference	CML	\$1,510